Distributed Systems
05. Clock Synchronization

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What's it for?

- Temporal ordering of events produced by concurrent processes
  – Example: replication & identifying latest versions
    • *Last write wins* or *latest version wins*

- Synchronization between senders and receivers of messages

- Coordination of joint activity

- Serialization of concurrent access for shared objects

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Physical clocks

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Logical vs. physical clocks

- Logical clock keeps track of event ordering
  – among related (causal) events

- Physical clocks keep time of day
  – Consistent across systems

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Quartz clocks

1880: Piezoelectric effect
– Curie brothers
– Squeeze a quartz crystal & it generates an electric field
– Apply an electric field and it bends

1929: Quartz crystal clock
– Resonator shaped like tuning fork
– Laser-trimmed to vibrate at 32,768 Hz
– Standard resonators accurate to 6 parts per million at 31° C
– Watch will gain/lose < ½ sec/day
– Stability > accuracy: stable to 2 sec/month
– Good resonator can have accuracy of 1 second in 10 years
  • But … frequency changes with age, temperature, and acceleration

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Atomic clocks

- Second is defined as 9,192,631,770 periods of radiation corresponding to the transition between two hyperfine levels of cesium-133

- Accuracy:
  better than 1 second in six million years

- NIST standard since 1960

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UTC

- UT0
  - Mean solar time on Greenwich meridian
  - Obtained from astronomical observation
- UT1
  - UT0 corrected for polar motion
- UT2
  - UT1 corrected for seasonal variations in Earth's rotation
- TAI: International Atomic Time (Temps Atomique International)
  - Weighted average of ~200 atomic clocks: TAI-UT1 = 0 on Jan 1, 1958
- UTC: Coordinated Universal Time (Temps Universel Coordonné)
  - Civil time measured on an atomic time scale
  - Kept within 0.9 seconds of UT1; integral Δ from TAI
  - Atomic clocks cannot keep mean time (UT0)
    - Mean time is a measure of Earth's rotation

Physical clocks in computers

- Real-time Clock: CMOS clock (counter) circuit driven by a quartz oscillator
  - Battery backup to continue measuring time when power is off

- Incrementing counter (e.g., Linux)
  - OS generally programs a timer circuit to generate a periodic interrupt
  - Timer hardware
    - Programmable Interval Timer (PIT) – Intel 8253, 8254
    - High Precision Event Timer (HPET)
    - Advanced Programmable Interval Controller (APIC)

  - E.g., 60, 100, 250, 1000 interrupts per second
    (Linux 2.6+ adjustable up to 1000 Hz; default: 250 Hz)

  - Interrupt service procedure increments a counter in memory

Problem

- Getting two systems to agree on time
  - Two clocks hardly ever agree
  - Quartz oscillators oscillate at slightly different frequencies

- Clocks tick at different rates
  - Create ever-widening gap in perceived time
  - Clock Drift

- Difference between two clocks at one point in time
  - Clock Skew

8:00:00   8:00:00

Sept 18 8:00:00

8:01:24   8:01:48
Skew = +84 seconds   Oct 23 8:00:00   Skew = +108 seconds
+84 seconds/35 days   +108 seconds/35 days
Drift = +2.4 sec/day   Drift = +3.1 sec/day

Perfect clock

$$\frac{dC}{dt} = 1$$

Computer's time, C

UTC time, t

Drift with slow clock

skew

$$\frac{dC}{dt} < 1$$

perfect time

Computer's time, C

UTC time, *t*

Drift with fast clock

$$\frac{dC}{dt} > 1$$

skew

perfect time

Computer's time, C

UTC time, *t*

Dealing with drift

We want to set the computer to the time of day

Not good idea to set a clock back
– Illusion of time moving backwards can confuse message ordering and software development environments

Dealing with drift

Go for *gradual* clock correction

If fast:
    Make the clock run slower until it synchronizes

If slow:
    Make the clock run faster until it synchronizes

Dealing with drift

The OS can do this:
  Change the rate at which it requests interrupts
    e.g.:
        if system requests interrupts every 17 ms but clock is too slow:
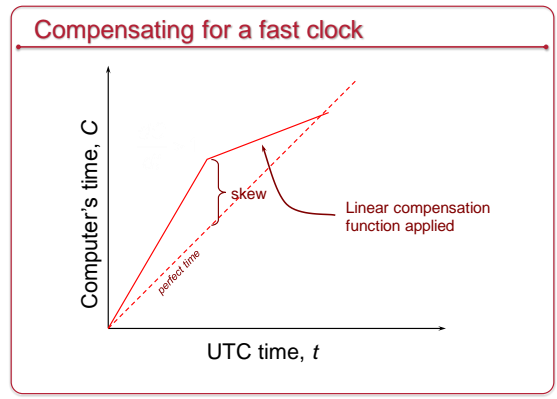   request interrupts at (e.g.) 15 ms
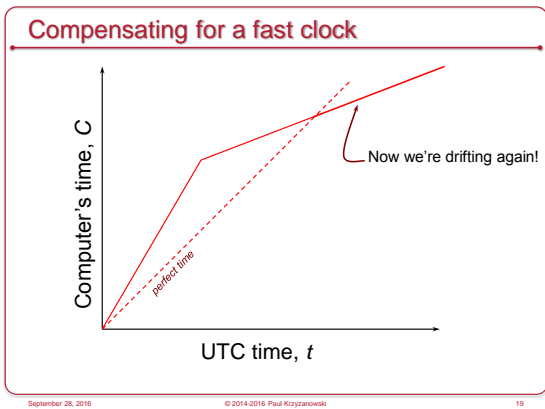 Not practical: we may not have enough precision

  Easier (software-only) solutions
  1. Redefine the rate at which system time is advanced with each interrupt
  2. Read the counter but compensate for drift

Adjustment changes slope of system time:
    Linear compensation function

Compensating for a fast clock

skew

Linear compensation function applied

perfect time

Computer's time, C

UTC time, *t*

Compensating for a fast clock

Computer's time, C

perfect time

Now we're drifting again!

UTC time, *t*

Resynchronizing

After synchronization period is reached
– Resynchronize periodically
– Successive application of a second linear compensating function can bring us closer to true slope

Long-term stability is not guaranteed

The system clock can still drift based on changes in temperature, pressure, humidity, and age of the crystal

Keep track of adjustments and apply continuously
– e.g., POSIX *adjtime* system call and *hwclock* command

Going to sleep

• RTC keeps on ticking when the system is off (or sleeping)

• OS cannot apply correction continually

• Estimate drift on wake-up and apply a correction factor

Getting accurate time

• Attach GPS receiver to each computer
– ± 100 ns to 1 µs of UTC

• Attach WWV radio receiver
– Obtain time broadcasts from Boulder or DC
– ± 3 ms of UTC (depending on distance)

• Not practical solution for every machine
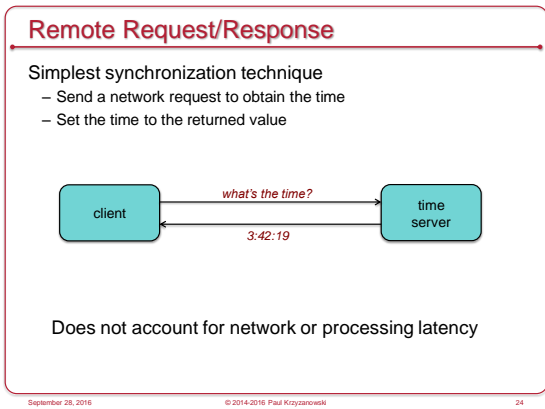– Cost, power, convenience, environment

Getting accurate time

Synchronize from another machine
– One with a more accurate clock

Machine/service that provides time information:

Time server

Remote Request/Response

Simplest synchronization technique
– Send a network request to obtain the time
– Set the time to the returned value

client   *what's the time?*   time server

3:42:19

Does not account for network or processing latency

Cristian's algorithm

Compensate for delays
- Note times:
  - request sent: T_0
  - reply received: T_1
- Assume network delays are symmetric

Cristian's algorithm

Client sets time to:

$$\frac{T_1 - T_0}{2} = \text{estimated overhead in each direction}$$

$$T_{new} = T_{server} + \frac{T_1 - T_0}{2}$$

Error bounds

If the minimum message transit time (T_{min}) is known:

Place bounds on accuracy of result

Error bounds

Earliest time message arrives

Latest time message leaves

$$\text{range} = T_1 - T_0 - 2T_{min}$$

$$\text{accuracy of result} = \pm\frac{T_1 - T_0}{2} - T_{min}$$

Cristian's algorithm: example

- Send request at 5:08:15.100 (T_0)
- Receive response at 5:08:15.900 (T_1)
  - Response contains 5:09:25.300 (T_{server})

- Elapsed time is $T_1 - T_0$
  5:08:15.900 - 5:08:15.100 = 800 ms

Note:
1 000 ms = 1 s
1 000 000 µs = 1s

- Best guess: timestamp was generated 400 ms ago
- Set time to T_{server} + elapsed time
  5:09:25.300 + 400 = 5:09.25.700

Cristian's algorithm: example

If best-case message time=200 ms

T_0 = 5:08:15.100
T_1 = 5:08:15.900
T_s = 5:09:25.300
T_{min} = 200 ms

$$\text{Error} = \pm\frac{900 - 100}{2} - 200 = \pm\frac{800}{2} - 200 = \pm 200$$

Berkeley Algorithm

- Gusella & Zatti, 1989

- Assumes no machine has an accurate time source
- Obtains average from participating computers
- Synchronizes all clocks to average

Berkeley Algorithm

- Machines run time dæmon
  – Process that implements protocol
- One machine is elected (or designated) as the server (master)
  – Others are slaves

Berkeley Algorithm

- Master polls each machine periodically
  – Ask each machine for time
    - Can use Cristian's algorithm to compensate for network latency

- When results are in, compute average
  – Including master's time

- *We hope: an average cancels out individual clock's tendencies to run fast or slow*

- Send offset by which each clock needs adjustment to each slave
  – Avoids problems with network delays if we send a time stamp

Berkeley Algorithm

Algorithm has provisions for ignoring readings from clocks whose skew is too great
  – Compute a fault-tolerant average

If master fails
  – Any slave can take over via an election algorithm

Berkeley Algorithm: example

1. Request timestamps from all slaves

Berkeley Algorithm: example

2. Compute fault-tolerant average: Suppose max ∂=0:45

$$\frac{3:25 + 2:50 + 3:00}{3} = 3:05$$

Berkeley Algorithm: example

3. Send offset to each client

Network Time Protocol, NTP

- 1991, 1992
  - Internet Standard, version 3: RFC 1305

- June 2010
  - Internet Standard, version 4: RFC 5905-5908
  - IPv6 support
  - Improve accuracy to tens of microseconds
  - Dynamic server discovery

NTP Goals

- Enable clients across Internet to be accurately synchronized to UTC despite message delays
  - Use statistical techniques to filter data and gauge quality of results

- Provide reliable service
  - Survive lengthy losses of connectivity
  - Redundant paths
  - Redundant servers

- Provide scalable service
  - Enable clients to synchronize frequently
  - Offset effects of clock drift

- Provide protection against interference
  - Authenticate source of data

NTP servers

Arranged in strata

- Stratum 0 = master clock

- 1st stratum: machines connected directly to accurate time source

- 2nd stratum: machines synchronized from 1st stratum machines

- …

Synchronization Subnet

NTP Synchronization Modes

Multicast mode
  - for high speed LANS
  - Lower accuracy but efficient

Procedure call mode
  - Cristian's algorithm

Symmetric mode
  - Peer servers can synchronize with each other to provide mutual backup
    - Usually used with stratum 1 & 2 servers
    - Pair of servers retain data to improve synchronization over time

All messages are delivered unreliably with UDP (port 123)

NTP Clock Quality

- Precision
  - Smallest increase of time that can be read from the clock

- Jitter
  - Difference in successive measurements
  - Due to network delays, OS delays, and *wander* – clock oscillator instability

- Accuracy
  - How close is the clock to UTC?

NTP messages

- Procedure call and symmetric mode
  - Messages exchanged in pairs: request & response
- Time encoded as a 64 bit value:
  - Divide by 2^{32} to get the number of seconds since Jan 1 1900 UTC
- NTP calculates:
  - Offset for each pair of messages (θ)
    - Estimate of time offset between two clocks
  - Delay (δ)
    - Travel time: ½ of total delay minus remote processing time
  - Jitter/Dispersion
    - Maximum offset error
- Use this data to find preferred server:
  - Probe multiple servers – each several times
  - *Pick lowest total dispersion & lowest stratum*

NTP message structure

- Leap second indicator
  - Last minute has 59, 60, 61 seconds
- Version number
- Mode (symmetric, unicast, broadcast)
- Stratum (1=primary reference, 2-15)
- Poll interval
  - Maximum interval between 2 successive messages, nearest power of 2
- Precision of local clock
  - Nearest power of 2

NTP message structure

- Root delay
  - Total roundtrip delay to primary source
  - (16 bits seconds, 16 bits decimal)
- Root dispersion
  - Nominal error relative to primary source
- Reference clock ID
  - Atomic, NIST dial-up, radio, LORAN-C navigation system, GOES, GPS, …
- Reference timestamp
  - Time at which clock was last set (64 bit)
- Authenticator (key ID, digest)
  - Signature (ignored in SNTP)

NTP message structure

- T_1: originate timestamp
  - Time request departed client (client's time)
- T_2: receive timestamp
  - Time request arrived at server (server's time)
- T_3: transmit timestamp
  - Time request left server (server's time)

NTP's validation tests

- Timestamp provided ≠ last timestamp received
  - duplicate message?
- Originating timestamp in message consistent with sent data
  - Messages arriving in order?
- Timestamp within range?
- Originating and received timestamps ≠ 0?
- Authentication disabled? Else authenticate
- Peer clock is synchronized?
- Don't sync with clock of higher stratum #
- Reasonable data for delay & dispersion

SNTP

Simple Network Time Protocol
  - Based on Unicast mode of NTP
  - Subset of NTP, not new protocol
  - Operates in multicast or procedure call mode
  - Recommended for environments where server is root node and client is leaf of synchronization subnet
  - Root delay, root dispersion, reference timestamp ignored

v3 RFC 2030, October 1996

v4 RFC 5905, June 2010

SNTP Example

Round-trip network delay:
$$\partial = (T_4 - T_1) - (T_2 - T_3)$$

Time offset:
$$t = \frac{(T_2 - T_1) + (T_3 - T_4)}{2}$$

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SNTP Example

Round-trip network delay:
$$\partial = (T_4 - T_1) - (T_2 - T_3)$$

Time offset:
$$t = \frac{(T_2 - T_1) + (T_3 - T_4)}{2}$$

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SNTP example

Offset =
((800 - 1100) + (850 - 1200)) / 2
= ((-300) + (-350)) / 2
= -650 / 2 = -325

Time offset:
$$t = \frac{(T_2 - T_1) + (T_3 - T_4)}{2}$$

Set time to $T_4 + t$
= 1200 - 325 = 875

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SNTP = Cristian's algorithm

$$T_{new} = T_s + \frac{1}{2}total\_delay$$
$$T_{new} = \frac{T_2 + T_3}{2} + \frac{T_4 - T_1}{2}$$
$$T_{offset} = t = T_{new} - T_4$$
$$t = \frac{T_2 + T_3}{2} + \frac{T_4 - T_1}{2} - \frac{2T_4}{2}$$

$$t = \frac{T_2 + T_3}{2} + \frac{T_4 - T_1}{2} - \frac{2T_4}{2}$$
$$t = \frac{T_2 + T_3 + T_4 - T_1 - 2T_4}{2}$$
$$t = \frac{T_2 + T_3 + T_4 - T_1}{2}$$
$$t = \frac{T_2 - T_1 + T_3 - T_4}{2}$$

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Key Points: Physical Clocks

- Cristian's algorithm & SNTP
  - Set clock from server
  - But account for network delays
  - Error: uncertainty due to network/processor latency
    - Errors are additive
    - Example: ±10 ms and ±20 ms = ±30 ms

- Adjust for local clock skew
  - Linear compensating function

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Precision Time Protocol

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PTP: IEEE 1588 Precision Time Protocol

- Designed to synchronize clocks on a LAN to sub-microsecond precision
  - Designed for LANs, not global: low jitter, low latency
  - Timestamps ideally generated at the MAC or PHY layers to minimize delay and jitter

- Determine master clock
  - Use Best Master Clock algorithm to determine which clock in the network is most precise
  - Other clocks become slaves

- Two phases in synchronization
  1. Offset correction
  2. Delay correction

PTP: Choose the "best" clock

Best Master Clock

- Distributed election based on properties of clocks
- Criteria from highest to lowest:
  - Priority 1 (admin-defined hint)
  - Clock class
  - Clock accuracy
  - Clock variance: estimate of stability based on past syncs
  - Priority 2 (admin-defined hint #2)
  - Unique ID (tie-breaker)

PTP: Master initiates sync

Master initiates the protocol by sending a *sync* message containing a timestamp

Slave timestamps arrival with a timestamp from its local clock

Offset + Delay = $T_2 - T_1$

PTP: Send delay request

Slave needs to figure out the network delay. Send a *delay request*

Note the time it was sent.

PTP: Receive delay response

Master marks the time of arrival and returns it in a *delay response*

Delay response = Delay - Offset = $T_4 - T_3$

PTP: Slave computes offset

$T_2 - T_1 = delay + offset$

$T_4 - T_3 = delay - offset$

$T_2 - T_1 + T_4 - T_3 = 2\ (offset)$

$offset = (T_2 - T_1 + T_4 - T_3)\ /\ 2$

NTP vs. PTP

- Range
  - NTP: nodes widely spread out on the Internet
  - PTP: local area networks

- Accuracy
  - NTP usually several milliseconds on WAN
  - PTP usually sub-microsecond on LAN

The End